

IFW



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Makoto YOSHIMOTO et al.

Confirmation No. 5398

Appl. No. : 10/577,302
(National Stage of PCT/JP2004/016373)

Group Art Unit: 1632

I. A. Filed : October 28, 2004

Examiner: Michael C. WILSON

For : TRANSGENIC NON-HUMAN MAMMAL

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Customer Service Window, Mail Stop Amendment
Randolph Building
401 Dulany Street
Alexandria, VA 22314

Sir:

Further to the Information Disclosure Statement filed April 20, 2007, and in accordance with the duty of disclosure under 37 C.F.R. § 1.56 and §§1.97-1.98, Applicants hereby direct the Examiner's attention the following documents:

- (1) U.S. Patent No. 6,504,080 (Van der Putten), issued January 7, 2003;
- (2) WO 02/063951 A2, August 22, 2002;
- (3) U.S. Patent Application Publication No. 2007/0157324 (Klein et al.), published July 5, 2007; Applicants note that this document is a family member of document (2);
- (4) WO 2005/013889 A2, February 17, 2005;
- (5) U.S. Patent Application Publication No. 2006/0259986 (Chilcote et al.), published November 16, 2006; Applicants note that this document is a family member of documents (4) and (6);

- (6) U.S. Patent Application Publication No. 2005/0198694 (Chilcote et al.), published September 8, 2005; Applicants note that this document is a family member of documents (4) and (5);
- (7) WO 00/20020 A2, April 13, 2000;
- (8) U.S. Patent Application Publication No. 2005/0086711 (Masliah et al.), published April 21, 2005; Applicants note that this document is a family member of document (7);
- (9) U.S. Patent Application Publication No. 2003/0217370 (Giasson et al.), published November 20, 2003;
- (10) U.S. Patent Application Publication No. 2003/0056231 (Masliah et al.), published March 20, 2003;
- (11) WO 03/015507 A1, February 27, 2003;
- (12) WO 02/49422 A2, June 27, 2002;
- (13) JP2003-199460 A, July 15, 2003; accompanied by an English language abstract provided by Patent Abstracts of Japan;
- (14) B.I. Giasson et al., Neuron, 2002, Vol. 34, pp. 521-533;
- (15) P.J. Kahle et al., The Journal of Neuroscience, 2000, Vol.20, No.17, pp. 6365-6373;
- (16) M.K. Lee et al., Proc. Natl. Acad. Sci USA, 2002, Vol.99, No.13, pp. 8968-8973;
- (17) M. Neumann et al., J Clin Invest, 2002, Vol.110, No.10, pp. 1429-1439;
- (18) M.H. Polymeropoulos, Science, 1997, Vol.276, pp. 2045-2047; this document is discussed in the present specification beginning at page 2, line 3;
- (19) R. Krueger et al., Nature Genetics, 1998, Vol.18, No.2, pp. 106-108; this document is discussed in the present specification beginning at page 2, line 4;

- (20) T. Iwawaki et al., Biochem Biophys Res Commun, 2000, Vol.274, pp. 590-595; this document is discussed in the present specification beginning at page 19, line 1; and
- (21) K. Wakabayashi et al., Acta Neuropathol, 2000, Vol.99, pp. 14-20; this document is discussed in the present specification beginning at page 29, line 13.

Copies of the above-listed documents (with the exception of the U.S. Patents and U.S. Patent Application Publications) are enclosed together with a completed copy of the PTO-1449 Form listing these documents. Accordingly, the Examiner is requested to consider these documents and to indicate such consideration by returning a signed and initialed copy of the PTO-1449 Form with the next official communication. The Examiner is respectfully requested to contact the undersigned if any copy is needed.

Applicants note that additionally copies of the following documents cited in the International Search Report for International Application PCT/JP2004/016373 are provided herewith, which have been cited in the Information Disclosure Statement filed on April 20, 2007, but without the submission of paper copies:

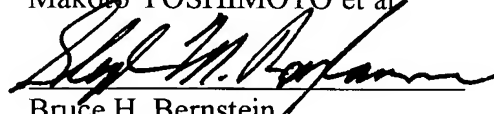
- E. Masliah, Science, 2000, Vol. 287, pp. 1265-1269 ;
- Y. Matsuoka, Neurobiology of Disease, 2001, Vol.8, pp. 535-539 ;
- E.K. Richfield, Experimental Neurology, 2002, Vol.175, pp. 35-48;
- H. van der Putten, The Journal of Neuroscience, 2000, Vol.20, No.16, pp.6021-6029;
- W. Zhou et al., Brain Research, 2002, Vol.926, pp. 42-50;
- S. Kanda et al., Neuroscience, 2000, Vol.97, No.2, pp. 279-284;

- S.M. Park et al., The Journal of Biological Chemistry, 2002, Vol.277, No.32, pp. 28512-28520;
- P.-O. Fernagut et al., Neurobiology of Disease, 2004, Vol.17, pp. 123-130; and
- Ishi, et al., Bulletin of the Japanese Society for Neuroscience, 10 August 2004, 43(2,3), p. 369, OG1-08.

Applicants note that an Office Action on the merits has not yet issued in the instant application, and thus, no fee is believed necessary to ensure consideration of the submitted material. However, if an Office Action has issued and is crossing in the mail with this statement, the Patent and Trademark Office is hereby authorized to charge Deposit Account No. 19-0089 any fee necessary to ensure consideration of the submitted materials.

Should there be any questions, the Examiner is invited to contact the undersigned at the below listed telephone number.

Respectfully submitted,
Makoto YOSHIMOTO et al



Bruce H. Bernstein
Reg. No. 29,027

Stephen M. Roylance
Reg. No. 31,296

April 21, 2008
GREENBLUM & BERNSTEIN, P.L.C.
1950 Roland Clarke Place
Reston, VA 20191
(703) 716-1191